

Terms and Conditions of Sustainable Procurement for Suppliers

1. **Party B** hereby undertakes to follow the sustainable development goals of Yuanta Financial Holding Co., Ltd. and its subsidiaries (collectively referred to as the “Yuanta Financial Holding Group”) and endeavors to promote corporate governance, eco-friendliness and social co-prosperity. **Party B** will devote to implementing the followings to advance sustainable development jointly in accordance with the Sustainable Procurement Guidance for Yuanta Financial Holding Group's Suppliers (available on Yuanta Financial Holding Co., Ltd.'s website):

1.1 **Party B** commits to comply with the general principles of labor's basic human rights, protect and safeguard their employees' human rights and benefits, and eliminate all forms of forced labor and employment discrimination. **Party B** shall not engage in any communications or conducts or adopt an attitude that discriminates, harasses or treats employees or customers differently because of their gender, race, socio-economic status, age, marital status, family status, language, religion, party affiliation, nationality, appearance, facial features, physical or mental disabilities, or any other factors. **Party B** and all of their employees shall work together to create a work environment of equal employment and free from discrimination and harassment.

1.2 **Party B** is committed to promoting environmental sustainability, conducting business under the premise of not damaging the natural environment, establishing practical energy-saving management system, advocating Earth protection, natural resources conservation and green practices so as to avoid water, air, or land pollution. If it is unavoidable, **Party B** shall put their best efforts on reducing the negative impact on human being's health and the environment as much as possible, taking into consideration of cost effectiveness and the technical or financial feasibility, so as to achieve the goal of environmental sustainability.

1.3 **Party B** will establish their policies and goals for pursuing social co-prosperity and engaging in activities that improve public welfare, bearing in mind its sustainable development goals. **Party B** shall actively participate in activities that improve public

welfare and exercise their social influences, to realize social co-prosperity.

1.4 **Party B** is strictly prohibited from engaging in any behavior or situation that violates business ethics. If there is any violation of the laws and regulations related to any of **Party B's** operations or code of ethical conduct, including those addressing avoidance of conflict of interest, prohibition of dishonest conduct, bribery, kickbacks and insider trading, prevention of money laundering and combating the financing of terrorism, confidentiality of information, and respect for intellectual property rights, **Party B** will deal with the violation unbiasedly and without leniency.

2. **Party B** acknowledges and agrees that the Sustainable Procurement Guidelines for Yuanta Financial Holding Group's Suppliers published in the website of Yuanta Financial Holding Co., Ltd. shall constitute part of this contract. If **Party B** violates any sustainable development policies specified in or illustrated by the Sustainable Procurement Guidelines for Yuanta Financial Holding Group's Suppliers, which results in a significant or material impact on corporate governance, environmental protection and social co-prosperity of Yuanta Financial Holding Group, Yuanta Financial Holding Co., Ltd. may terminate or rescind this contract and may be entitled to seek the compensation for all the damages arising from such violations, including but not limited to reputational damages.