

## 2024 Yuanta FHC Directors of the Board's Continuing Education

Name	Title	Course Dates		Course Name	Organizer	Course Hours (Hour)	Total Hours of Courses
		Starting	Ending				
Ting Chien (Tony) Shen	Chairman of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	12.0
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	
Chien Weng	Director of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	14.0
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.07.10	2024.07.10	Anchoring: Taiwan's Unique Position and Strategic Fault Line in the AI Era	Yuanta Financial Holding Company, Ltd.	2	

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		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.09.30	2024.09.30	Summit on Strengthening Taiwan's Capital Markets	Taiwan Stock Exchange	3	
Daniel YM Song	Director of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	12.0
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	
Wei Chen (Michael) Ma	Director of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	12.0
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	

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		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	
Chung Yuan Chen	Director of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	14.0
		2024.04.29	2024.04.29	Current Status and Prospects of Sustainable Finance & ESG Investment Development	Yuanta Financial Holding Company, Ltd.	2	
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	
Ming Ling Hsueh	Independent Director of the Board	2024.01.26	2024.01.26	Analysis of Taiwan's Economic Trends in 2024	Taiwan Corporate Governance Association	3	20.0
		2024.03.29	2024.03.29	Production Technology and Market Development of High-Value Steel Products	Taiwan Corporate Governance Association	2	

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		2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	
		2024.04.30	2024.04.30	TCFD & SBTi Trends and Directors' Roles and Responsibilities	Taiwan Corporate Governance Association	3	
		2024.05.03	2024.05.03	Nickel Industry Development Prospects and Sustainable Management of Resilient Supply Chains	Taiwan Corporate Governance Association	3	
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
Kuang Si Shiu	Independent Director of the Board	2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	12.0
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	

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		2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3	
Hsing Yi Chow	Independent Director of the Board	2024.04.17	2024.04.17	Benchmark Learning How to Grow from Core Technology Breakthroughs	Taiwan Institute of Directors	3	18.0
		2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	
		2024.03.20	2024.03.20	How to Go Global: The Competitiveness of Korean Companies as an Example of Asian Growth	Taiwan Institute of Directors	3	
		2024.05.02	2024.05.02	How to Realize Corporate Growth through Strategic Mergers and Acquisitions	Taiwan Institute of Directors	3	
		2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes "Financial Friendliness and the Convention on the Rights of Persons with Disabilities")	Taiwan Securities Association	3	
		2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3	
		2024.04.18	2024.04.18	Money Laundering Prevention and Countering Financing of Terrorism Practices and Insider Trading Prevention Promotion	Institute of Financial Law and Crime Prevention	3	
Sheau Wen (Sharon) Yang	Independent Director of the Board	2024.04.29	2024.04.29	Current Status and Prospects of Sustainable Finance &	Yuanta Financial Holding Company, Ltd.	2	17.0

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		ESG Investment Development		
2024.05.16	2024.05.16	<i>Financial Consumer Protection Act</i> and Fair Treatment of Customers (Course content includes “Financial Friendliness and the Convention on the Rights of Persons with Disabilities”)	Taiwan Securities Association	3
2024.06.07	2024.06.07	Financial Friendly Service Guidelines	Taipei Foundation of Finance	3
2024.09.05	2024.09.05	Cybersecurity Threat Trends and Crisis Management	Taiwan Securities Association	3
2024.10.17	2024.10.17	Trends in Sustainable Financial Development and the Nature-Related Financial Disclosures Framework (TNFD)	Taiwan Securities Association	3