

Education and Professional Background of the Candidates Nominated for Yuanta FHC's 9th Term Board of Directors:

Title	Name (Gender)	Educational Background/ Work Experience	Professional Background/ Contribution
Independent Director	Ming-Ling Hsueh (Male)	<p>Education:</p> <ul style="list-style-type: none"> -Master of Accounting, Soochow University -MBA, Bloomsburg University of Pennsylvania, USA <p>Current Positions:</p> <ul style="list-style-type: none"> -Independent Director, Yuanta Financial Holding Co., Ltd. -Independent Director, Yuanta Bank Co., Ltd. -Independent Director, TTY Biopharm Co, Ltd. -Independent Director, LITE-ON Technology Corp. -Independent Director, Walsin Lihwa Corp. -Executive Director, Taiwan Corporate Governance Association -Director, Tung Hua Book Co., Ltd. <p>Experience:</p> <ul style="list-style-type: none"> -Chairman, PricewaterhouseCoopers Taiwan -Adjunct Professor, College of Technology Management, National Tsing Hua University, Taiwan -Adjunct Professor, School of Management, National Taiwan University of Science and Technology -Member, R.O.C. Senior Professional Examinations Board of Examiners -Chairman, Financial Literacy and Education Association 	<p>Mr. Ming-Ling Hsueh is currently an independent director of Yuanta Financial Holding Company (FHC) and its subsidiary Yuanta Bank, and serves as the convener of Yuanta FHC's Audit Committee. He has been practicing as an accountant for over 30 years and was the chairman of PricewaterhouseCoopers Taiwan (2006-2013). During his practice, Mr. Hsueh has audited the financial statements of financial holding companies for 9 years (2004-2007 for IBF Financial Holdings and 2008-2012 for Yuanta FHC), and has served as the executive director of the Taiwan Corporate Governance Association (2000-present), as well as a discussant of the Taipei Corporate Governance Forum (TAICGOF) and a lecturer of the TAICGOF's professional courses, which demonstrates his expertise in the management and corporate governance of financial holding companies.</p> <p>Mr. Hsueh specializes in corporate management, corporate governance practices, and financial information analysis and application. His professional publications include: <i>Successful Practices of Corporate Listing (Over-the-Counter)</i> (Golden Book Award, Small and Medium Enterprise Administration, Taiwan's Ministry of Economic Affairs), <i>Taxation Law, Corporate Merger-and-Acquisition Strategies and Best Practices</i>, <i>Practical Manual for Board of Directors and Shareholders Meetings of Public Companies</i>, etc. Mr. Hsueh has also served as the chairman of the Financial Literacy and Education Association (2015-2019), as well as a jury member of the National Award of Outstanding SMEs and the Golden Goblet Award for Securities and Futures.</p> <p>Mr. Hsueh has been a professor at the National Tsing Hua University College of Technology Management (2003-2017) and received the Faculty Award for Outstanding Teaching (2013), a lecturer of the Financial Institution Operation and Management Courses for Feng Chia University's PhD degree program in Finance (2015-2016), and an adjunct professor and expert at the School of Management, National Taiwan University of Science and Technology (2006-present), and received the Teaching Excellence Award for the 2019 academic year. Over the years, Mr. Hsueh has trained and nurtured numerous financial professionals and has been recognized by the academic and practical communities.</p> <p>During his tenure as an independent director of Yuanta FHC and Yuanta Bank, Mr. Hsueh fully performed his functions as an independent director and maintained good interaction with the board of directors, the management team, the internal auditing department and the certified public accountants (CPAs). He once recommended Yuanta FHC to set up a Sustainability Committee (now renamed as Sustainable Development Committee) and advocate ESG to build a sustainable development strategy roadmap, and supervised the Integrity Management Office in the Sustainable Development Committee to implement the policy of integrity management and promoting corporate sustainable development. Yuanta FHC has been included as a constituent stock of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for 3 consecutive years, and has been selected as the No. 1 company of diversified financial and capital market services in the S&P Global Corporate Sustainability Assessment (CSA) among 174 participating companies, making it the first Taiwanese financial company ever to be ranked No. 1 in this category.</p> <p>Yuanta FHC will continue to rely on Mr. Hsueh's expertise in the areas of accounting, taxation, capital planning, and corporate governance to help continuously refine the quality of corporate governance.</p>
Independent Director	Kuang-Si Shiu (Male)	<p>Education:</p> <ul style="list-style-type: none"> -Master's Degree, School of Business, Indiana University, USA <p>Current Positions:</p> <ul style="list-style-type: none"> -Independent Director, Yuanta Financial Holding Co., Ltd. -Independent Director, Yuanta Bank Co., Ltd. -Independent Director, United Microelectronics Corp. -Supervisor, Canaan Leisure Business Co., Ltd. -Director, Canaan Chilai Co., Ltd. <p>Experience:</p> <ul style="list-style-type: none"> -Chairman, President, Vice President, Mega Financial Holding Co., Ltd. -Chairman, President, Mega International Commercial Bank -Chairman, Hua Nan Financial Holding Co., Ltd. -Chairman, Hua Nan Bank -Chairman, Land Bank of Taiwan -Vice President, Manager, Deputy Manager, Chiao Tung Bank -Section Chief, Monetary Affairs Dept., Ministry of Finance, Taiwan -Assistant Auditor, Taxation Administration, Ministry of Finance, Taiwan -Researcher, Council for Economic Planning and Development, Executive Yuan, Taiwan 	<p>Mr. Kuang-Si Shiu is currently an independent director of Yuanta FHC and its subsidiary Yuanta Bank. During his tenure, he has fully performed his duties as an independent director and supervised the smooth communication with the risk management department, internal auditing department and CPAs, and supervised Yuanta FHC and Yuanta Bank in improving the audit and financial examination deficiencies as well as providing relevant insights on domestic and overseas operations. Mr. Shiu is also supervising the Integrity Management Office of the Sustainable Development Committee to implement the policy of integrity management and promoting the sustainable development of enterprises. Yuanta FHC has been included as a constituent stock of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for 3 consecutive years, and has been selected as the No. 1 company of diversified financial and capital market services in the S&P Global CSA among 174 participating companies, making it the first Taiwanese financial company ever to be ranked No. 1 in this category.</p> <p>Mr. Shiu was formerly the section chief of Monetary Affairs Department of Taiwan's Ministry of Finance (the department was later succeeded by the Banking Bureau of Financial Supervisory Commission) with related experience in supervision of the financial industry. Afterwards, he moved on to hold various positions in financial institutions, including vice president of Chiao Tung Bank, president of Mega Bank, chairman and president of Mega Financial Holdings, chairman of Land Bank of Taiwan, chairman of Hua Nan Bank and Hua Nan Financial Holdings, and independent director of Yuanta Bank, and was involved in bringing about the formation of Chiao Tung Bank Financial Holdings (later renamed as Mega Financial Holdings), which are part of his extensive qualifications in financial holdings and banking.</p> <p>In addition, Mr. Shiu was the manager and chairman of the international business branch, overseas branch, and New York branch of Chiao Tung Bank, Mega Financial Holdings, and Mega International Commercial Bank. Mr. Hsu's experience in overseas assignments, international financial services, internationalization and management of overseas business operations will continue to help to guide the expansion and management of Yuanta FHC's overseas business.</p> <p>Yuanta FHC seeks to continue to leverage Mr. Shiu's many years of experience in financial holdings and banking industry to enhance the depth of Yuanta FHC's operation management.</p>

Independent Director	Hsing-Yi Chow (Male)	<p>Education:</p> <ul style="list-style-type: none"> -PhD, Business, Indiana University, USA <p>Current Position:</p> <ul style="list-style-type: none"> -Independent Director, Yuanta Financial Holding Co., Ltd. -Emeritus Professor, Department of Finance, National Chengchi University, Taiwan -Independent Director, Yuanta Securities Co., Ltd. -Independent Director, Coretronic Corp. -Chairman, Association for the Promotion of Financial Wisdom Education, R.O.C. -Chairman, National Chengchi University Griffins Association, Taipei City <p>Experience:</p> <ul style="list-style-type: none"> -Independent Director, Yuanta Polaris Securities, Co., Ltd. -Director, Supervisor, Taipei Exchange -Director, Taiwan International Cooperation and Development Fund -Independent Director, Uni-President Enterprises Corp. -Independent Director, Polaris Securities Co., Ltd. -President, Dean and Associate Dean of College of Commerce, Head of Department of Finance, National Chengchi University, Taiwan -Vice President, Asian Finance Association -Management Committee Member, Financial Restructuring Fund, Executive Yuan, Taiwan -Member, Research, Development and Evaluation Commission, Executive Yuan, Taiwan -Supervisor, Securities and Futures Investors Protection Center -Director, Securities & Futures Institute -Assistant Professor, Finance, Santa Clara University, USA 	<p>Mr. Hsing-Yi Chow is currently an independent director of Yuanta FHC and its subsidiary Yuanta Securities. He was an independent director of Yuanta Polaris Securities (now renamed as Yuanta Securities) and Polaris Securities, a director and supervisor of the Taipei Exchange, and a supervisor of the Securities and Futures Investors Protection Center. He is familiar with the securities market and related businesses, and has extensive education to keep abreast of international economic and financial trends. During his tenure as an independent director of Yuanta FHC and Yuanta Securities, Mr. Chow has fully performed his duties as an independent director and supervised the Corporate Sustainability Office in the Sustainable Development Committee to promote sustainability-related issues of the Yuanta Group with the goal of making Yuanta a benchmark enterprise for sustainability. Yuanta FHC has been included as a constituent stock of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for 3 consecutive years, and has been selected as the No. 1 company of diversified financial and capital market services in the S&P Global CSA among 174 participating companies, making it the first Taiwanese financial company ever to be ranked No. 1 in this category.</p> <p>Mr. Chow holds a master's degree in business administration and a doctorate in business from Indiana University in the US and an honorary doctorate in education from Sungkyunkwan University in Korea. He is currently an emeritus professor at the Department of Finance, National Chengchi University (NCCU). Mr. Chow served as president of NCCU, chairman of the Foundation for Academic Development of NCCU, chairman of the Phi Tau Phi Scholastic Honor Society of the Republic of China, member of the "Presidential Science Prize" Steering Committee, director of the Higher Education Evaluation and Accreditation Council of Taiwan, dean and associate dean of College of Commerce, head of Department of Finance, and executive director of the Investor Research Center of the College of Commerce of NCCU, director and vice chairman of the Asian Finance Association, and editor-in-chief of the <i>Journal of Financial Studies</i>. Mr. Chow has published articles in leading journals such as <i>Journal of Business</i> and <i>Journal of Banking and Finance</i> and his research interests include investment science, risk management, international finance, and entrepreneurial financial management. Mr. Chow is the author of the books <i>The World of Investment</i>, <i>The New World of Economics</i>, and <i>The Great Future of China-U.S. Exchange</i>. He is also the project leader of the research projects "A Study on Shell Listings: The Case of Taiwan," "The Impact of International Capital Movements on the Foreign Exchange Markets of Emerging Countries," "Exploring the Future Development of Taiwan's Financial Markets from the Japanese Financial Instruments Trading Law," "A Study on the Trading Behavior of Taiwan's Securities Investors and the Development of the Securities Market," and "How to Strengthen the Cultivation of International Financial Management Talents in Finance, Securities, and Insurance," as well as the core staff of the research project "A Study on the Future Growth Strategies of Taiwan's Stock Market." All in all, Mr. Chow has in-depth research on the development of Taiwan's securities industry and capital markets.</p> <p>Yuanta FHC will continue to rely on Mr. Chow's expertise in the areas of securities market and corporate governance to assist in the development of Yuanta FHC's corporate governance and securities subsidiary's business.</p>
Independent Director	Sheau-Wen Yang (Female)	<p>Education:</p> <ul style="list-style-type: none"> -PhD, Actuaries, Heriot-Watt University, UK -Master of Actuarial Science, University of Iowa, USA <p>Current Positions:</p> <ul style="list-style-type: none"> -Executive Director, NN IP & Nomura SITE Financial Research Center, National Chengchi University, Taiwan -Independent Director, Yuanta Life Insurance Co., Ltd. -Advisory Committee Member, Central Deposit Insurance Corporation, Taiwan -Settlement Committee Convener, Taiwan Futures Exchange -Consultant, Financial Ombudsman Institution -Consultant, Public Service Pension Fund Supervisory Board -Member, Public Servant and Teacher Insurance Supervisory Committee -Supervisor and Research Group Chief Executive Officer, Taiwan Pension Fund Association -Council Member, Taiwan Risk and Insurance Association -Member, National Annuity Insurance Actuarial Review Panel, Taiwan <p>Experience:</p> <ul style="list-style-type: none"> -Associate Dean, School of Management; Chief Executive Officer, Center for Industry Collaboration; Head of Department of Finance, National Central University, Taiwan -Independent Director, BNP Paribas Cardif TCB Life Insurance Co., Ltd. -Director, Advisory Committee Member, Takeover Committee Member, New Early Warning Project Advisory Committee Member, Taiwan Insurance Guaranty Fund -Investment-Linked Insurance Review Committee Member, Insurance Bureau, Financial Supervisory Commission, R.O.C.(Taiwan) -Disciplinary Committee Member, Taiwan Futures Exchange -Consultant, Life Insurance Association of the Republic of China -Risk Control and Management Committee Member, Futures Trust Fund, Chinese National Futures Association -Delegate to the Taiwan Presidential Conference on Annuity Reform -Adjunct Associate Professor, Department of Risk Management and Insurance, National Chengchi University, Taiwan -Clerk, Mathematical Department, Cathay Life Insurance Co., Ltd. 	<p>Ms. Sheau-Wen Yang is a professor in the Department of Finance at National Chengchi University and is the executive director of the NN IP & Nomura SITE Financial Research Center, which was established by the College of Commerce of National Chengchi University to promote research and industry-academia cooperation in two distinctive areas: ESG sustainable finance and digital finance. Ms. Yang's research interests include sustainable finance, ESG responsible investment, financial institution risk management, pension fund management and investment, financial instrument innovation, and actuarial finance. Her research has been published in the Taiwan Ministry of Science and Technology's distinguished international journals in the fields of finance and insurance actuarial. She will help Yuanta FHC to continue to strengthen its corporate governance and sustainable finance development.</p> <p>Ms. Yang is currently an independent director of Yuanta Life, an advisory committee member of the Central Deposit Insurance Corporation, the settlement committee convener of Taiwan Futures Exchange, a consultant of the Financial Ombudsman Institution, an index review committee member of Tapei Exchange's ETFs, an offshore structured products review committee member of the Taiwan Financial Services Roundtable, a consultant of the Public Service Pension Fund Supervisory Board, a member of the Public Servant and Teacher Insurance Supervisory Committee, a disciplinary committee member of the Actuarial Institute of Chinese Taipei, the supervisor and research group chief executive officer of the Taiwan Pension Fund Association, and a council member of the Taiwan Risk and Insurance Association, and has made significant contributions to promoting the reform of Taiwan's financial industry. Ms. Yang is also involved in many government and industry research projects, such as "Opportunities and Challenges for Taiwan's Development of Green Finance," "A Study on Building a Database of ESG in Taiwan," "Formulation of ESG Disclosure Regulations and Practical Guidelines on ESG Investment and Risk Management Processes for Investment and Trust Companies in Taiwan," "Research on the Taiwan Labor Pension System Self-Selection Platform," "Pension System Alternative Investments," "IFRS17 Discount Rate and Liability Valuation," "GMXB Commodity Investment Strategy and Pricing and Risk Assessment," "Insurance Asset Economic Scenario Development and Application," "Longevity Risk," etc.</p> <p>Yuanta FHC will draw on her expertise in academic research, insurance industry, corporate governance and financial operations to assist in the promotion and implementation of medium and long-term development strategies.</p>

Director (Natural person)	Ting-Chien Shen (Male)	<p>Education: -Taipei Municipal Commercial School</p> <p>Current Positions: -Chairman, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Securities Co., Ltd. -Director, Yuanta Cultural and Educational Foundation</p> <p>Experience: -Chairman, Vice Chairman, Chief Executive Officer, Yuanta Financial Holding Co., Ltd. -Chairman, Yuanta Securities Co., Ltd. -Chairman, Yuanta Securities (Hong Kong) Co., Ltd. -Vice Chairman, Yuanta Securities Asia Financial Co., Ltd.</p>	<p>Mr. Ting-Chien Shen served as the chief executive officer of Yuanta FHC for nearly 5 years from July 2014 to June 2019, during which time he was recognized by <i>Corporate Governance Asia</i> Magazine as Asia's Best CEO (Investor Relations) 2018 and took over as chairman of the board on June 14, 2019. He has gone through the merger of the Yuanta Group with Polaris and with Ta Chong Bank, the acquisition of New York Life, Tongyang Securities in Korea, PT AmCapital Indonesia, Hanshin Savings Bank in Korea, KKTrade Securities Company Limited in Thailand, and the merger and acquisition of Vietnam's First Securities Joint Stock Company. Mr. Shen has extensive financial management skills and has contributed to the Yuanta Group's record profitability.</p> <p>Mr. Shen has been with Yuanta Group for more than 40 years and has experienced many booms and busts during his securities service. His rich experience in securities-related practices and his ability to unite his staff have enabled him to quickly adjust and implement strategies in response to changes, including transforming the securities brokerage channel into a wealth management channel, promoting the co-location of securities branches with bank branches to increase operational efficiency, and strengthening the management of overseas branch operations, which has enabled Yuanta's securities business to maintain its position as the leading securities company in Taiwan.</p> <p>Under Mr. Shen's supervision, Yuanta FHC has deepened its corporate governance and extended to various issues of sustainable development and ESG, which has led Yuanta FHC to be recognized with many awards both domestically and internationally. Yuanta FHC not only has been selected as a constituent of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for 3 consecutive years, but has also been ranked No. 1 in the S&P Global CSA among 174 participating companies in the diversified financial services and capital markets category, becoming the first Taiwanese financial company ever to be ranked No. 1 in this category. Yuanta FHC has also been ranked in the Bloomberg Gender-Equality Index (GEI) for 3 consecutive years for promoting a gender-friendly workplace. Furthermore, Yuanta FHC has been awarded the CDP (formerly Carbon Disclosure Project) Leadership Level A for 2 consecutive years, and has been selected as a constituent of the FTSE4Good Emerging Indexes for 5 consecutive years and a constituent of the FTSE4Good TIP Taiwan ESG Index for 4 consecutive years, making it a benchmark for sustainability in the international financial industry.</p> <p>Yuanta FHC will continue to leverage Mr. Shen's years of practical experience and leadership in the financial and securities industries to assist Yuanta FHC in achieving its operational goals of corporate governance and sustainable development.</p>
Director (Natural person)	Wei-Chen Ma (Male)	<p>Education: -Bachelor of Business, University of Southern California, USA</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Chairman, Yuanta Cultural and Educational Foundation -Director, Taiwan Stock Exchange Corporation</p> <p>Experience: -Vice Chairman, Yuanta Life Insurance Co., Ltd. -Chief Executive Vice President, Yuanta Financial Holding Co., Ltd. -Vice Chairman, Yuanta Bank Co., Ltd. -Director, Yuanta Core Pacific Securities Co., Ltd.</p>	<p>Mr. Wei-Chen Ma was the chief executive vice president of Yuanta FHC from September 2017 to May 2019 and the vice chairman of Yuanta Bank from July 2015 to March 2018. During this period, he transferred the advantages of Yuanta Securities' well-established corporate governance system, corporate culture, and execution to Yuanta Bank for organizational transformation and business restructuring, which led to the gradual improvement of Yuanta Bank's business structure. In 2021, Yuanta Bank capitalized on its strengths of sufficient capital and good asset quality to drive overall asset expansion through growth in securities deposits and branch core deposits, enhance capital efficiency, and maintain the high-quality asset standards in the industry.</p> <p>Since becoming a director of Yuanta FHC in June 2013, Mr. Ma has developed Yuanta FHC's three-pillar strategy of securities, banking, and insurance, which enabled Yuanta FHC to successfully complete the merger and acquisition of Yuanta Life (formerly New York Life) and enter the life insurance business in 2014. In 2016, Yuanta FHC completed the merger and acquisition of Ta Chong Bank, and after the merger of Yuanta Bank and Ta Chong Bank, the total asset scale jumped to over NTD1.26 trillion, ranking the 7th largest among all private banks in Taiwan.</p> <p>Mr. Ma has been a director of Yuanta Life since it became a subsidiary of Yuanta FHC in January 2014 and was the vice chairman of Yuanta Life from February 2016 to January 2018. During his tenure as a director and vice chairman of Yuanta Life, Mr. Ma actively promoted the restructuring of Yuanta Life by setting up independent directors and an audit committee to replace the supervisors, and devoted himself to promoting investment-linked products and protection-type and long-term products to build up the brand awareness of Yuanta Life. Mr. Ma also led Yuanta Life to turn losses into profits early in 2018 with differentiated products, sound investment strategies, and excellent risk control, and has been an important profit-making engine for Yuanta FHC in recent years.</p> <p>With his insight into the financial sector and other industries, Mr. Ma has made significant contributions in guiding the future direction of the Yuanta Group and promoting financial and business integration, enabling Yuanta FHC to develop from a business model that used to focus on the securities market to a financial holding company with five profit engines: securities, banking, life insurance, investment trust (funds), and futures. Through the Yuanta Group's differentiated services and products, Mr. Ma is driving the growth of the Yuanta Group's 5 major business units towards the vision of being the "Best Financial Services Provider in Asia Pacific."</p>

<p>Director (Representative of Tsun Chueh Investment)</p>	<p>Yaw-Ming Song (Male)</p>	<p>Education: -Master of Law, Columbia University, USA</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Chairman, Yuanta Asset Management Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd. -Director, Yuanta Bank Co., Ltd.</p> <p>Experience: -Partner, Lee and Li Attorneys-at-Law -Attorney, New York State, USA -Prosecutor, Taichung District Prosecutors Office, Taiwan -Prosecutor, Ministry of Justice, Taiwan -Director, UNI Airways, Co., Ltd.</p>	<p>Mr. Yaw-Ming Song is currently a director of Yuanta FHC, the chairman of Yuanta Asset Management, a director of Yuanta Bank, and a director of Yuanta Life. He has served as a partner of Lee and Li Attorneys-at-Law, a prosecutor at the Taichung District Prosecutors Office, and a negotiator in important economic and trade and legal negotiations, such as WTO trade negotiations on services, Taiwan-US intellectual property rights consultations, and consultations on the Agreement on Mutual Legal Assistance in Criminal Matters between Taiwan and the US. Mr. Song is qualified as an attorney in both the United States and Taiwan and has extensive experience in foreign affairs. Mr. Song's legal expertise covers administrative law, fair trade law, mass communication law, commercial litigation, criminal litigation, intellectual property litigation, and international trade disputes, with particular experience in corporate mergers and acquisitions and multinational commercial litigation. Yuanta FHC will continue to rely on Mr. Song's English and legal expertise, as well as his knowledge of cross-border negotiations and commercial litigation, to assist the Yuanta Group in mastering the overall legal aspects of its operations, merger and acquisition expansion and strengthening of its international presence, in order to reduce (cross-border) legal risks and enhance operational efficiency.</p>
<p>Director (Representative of Tsun Chueh Investment)</p>	<p>Chung-Yuan Chen (Male)</p>	<p>Education: -KaiNan Vocational High School</p> <p>Current Positions: -Director, Yuanta Financial Holding Co., Ltd. -Director, Yuanta Bank Co., Ltd. -Chairman, Taipei Jiao Hsiou Temple -Supervisor, Residence of the Summit -Chairman, Taipei Private Yanping High School</p> <p>Experience: -Advisor, Executive Yuan, R.O.C. -Managing Director, Taiwan Power Company -Chairman, Taipei City Friends of Firefighters Association -Supervisor, ChangJia M & E Engineering Corp. -Director, Trilogy International Develop Co.</p>	<p>Mr. Chung-Yuan Chen was an advisor to Taiwan's Executive Yuan, a managing director of Taiwan Power Company, the chairman of Taipei City Friends of Firefighters Association, and a supervisor of ChangJia M & E Engineering Corp. He has extensive experience in the industry, is widely known, and enjoys good reputation in the industry. He has in-depth knowledge of company management, industry development trends, and related risk control. Mr. Chen is currently a director of Yuanta FHC and Yuanta Bank. During his tenure, he fully utilizes his expertise in company operation and industry experience to provide various professional advice. As Yuanta Bank's real estate lending accounts for about 60% of the total loans, Mr. Chen is familiar with the real estate market in various districts and has a deep network of contacts. He can often provide his unique insights on the 5Ps of credit, which not only helps Yuanta Bank grasp the quality of credit products, but also provides the management team with a diversified risk review mechanism during credit acquisition and review of related credit cases. Yuanta FHC will continue to leverage on Mr. Chen's experience as a senior government official and in the industry to help Yuanta FHC tackle the risks associated with its operations and to further understand the direction of government policies and industry needs in order to expand Yuanta FHC's financial products and services.</p>

<p>Director (Representative of Modern Investment)</p>	<p>Chien Weng (Male)</p>	<p>Education: -Bachelor of Law, Fu Jen Catholic University</p> <p>Current Positions: -Chief Executive Officer, Yuanta Financial Holding Co., Ltd. -Chairman, Yuanta Bank Co., Ltd. -Independent Director, Taiwan FamilyMart Co., Ltd. -Director, Yuanta Cultural and Educational Foundation</p> <p>Experience: -Chairman, President, Polaris Securities Co., Ltd. -Auditor-General, Yuanta Financial Holding Co., Ltd. -Auditor-General, Yuanta Bank Co., Ltd. -Chairman, Yuanta Venture Capital Co., Ltd. -Director, Yuanta Life Insurance Co., Ltd.</p>	<p>Mr. Chien Weng has been the chief executive officer of Yuanta FHC for almost 3 years since July 26, 2019 and the chairman of Yuanta Bank since October 2020. He has been involved in the financial field for over 30 years and has experience in securities, banking, venture capital, life insurance, and financial holdings. He served as the director, president, and vice president of Polaris Securities, managing director and vice president of Oversea-Chinese Banking Corporation Ltd. (OCBC), auditor-general of Yuanta Bank, auditor-general of Yuanta FHC, director of Yuanta Life, and chairman of Yuanta Venture Capital. During the 30-year period, Mr. Weng was involved in the completion of many major cases, including the 4-in-1 merger of Polaris Securities, which nearly doubled its business base and increased its brokerage market share to the third largest in Taiwan at that time. He took part in the ownership of OCBC, carried out the overall transformation of OCBC, and sold it to Citibank two years later, creating a win-win-win situation for shareholders, customers, and employees. He was engaged in the merger of Yuanta Securities and Polaris Securities, and after the merger, Yuanta became the leader in the securities, futures, and investment trust related businesses. Yuanta has retained professional talent and protected employees to establish a model for mergers and acquisitions in Taiwan's financial industry and has created the Group's competitive advantage.</p> <p>Mr. Weng was the auditor-general of Yuanta FHC and Yuanta Bank for about 4 years and the chairman of Yuanta Venture Capital for 3 years, and took over the position of chief executive officer of Yuanta FHC in July 2019. During his tenure as the auditor-general, Mr. Weng was strict in his management, paid attention to the details of the internal control system, and carried out risk control, so that each business unit could make specific and proper improvements to the deficiencies of the respective company's internal audit or the financial inspections by the competent authorities. When he served as the chairman of Yuanta Venture Capital, Mr. Weng leveraged his past experience to oversee various investment projects and led the venture capital firm to generate 190% profit growth in 2018. While he is the chief executive officer of Yuanta FHC, Mr. Weng has focused on integration and risk control, planting the concept of risk control deep in the DNA of all employees, reducing credit risk, improving the quality of assets, and implementing ESG. During his tenure as the chairman of Yuanta Bank, in support of international initiatives and in response to Taiwan government's "Green Finance Action Plan 2.0," Yuanta Bank officially signed the Equator Principles in October 2020 to establish a project financing credit system that is more aligned with international standards, and issued green bonds in 2021 to bring Yuanta Bank's operations more harmonized with the international trend of sustainability. During this period, Yuanta FHC not only has been selected as a constituent of the Dow Jones Sustainability World Index and the Dow Jones Sustainability Emerging Markets Index for 3 consecutive years, but has also been ranked No. 1 in the S&P Global CSA among 174 participating companies in the diversified financial services and capital markets category, becoming the first Taiwanese financial company ever to be ranked No. 1 in this category. Yuanta FHC has also been ranked in the Bloomberg Gender-Equality Index (GEI) for 3 consecutive years for promoting a gender-friendly workplace. Furthermore, Yuanta FHC has been awarded the CDP (formerly Carbon Disclosure Project) Leadership Level A for 2 consecutive years, and has been selected as a constituent of the FTSE4Good Emerging Indexes for 5 consecutive years and a constituent of the FTSE4Good TIP Taiwan ESG Index for 4 consecutive years, making it a benchmark for sustainability in the international financial industry.</p> <p>Yuanta FHC will rely on Mr. Weng as an important driving force in the decision making and execution of the company, who will continue to lead the managers and colleagues to face challenges and seek business opportunities in the ever-changing political and economic environment and financial situation, and to implement the decisions of the board of directors in order to achieve the business objectives of Yuanta FHC for sustainable development.</p>
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Qualification Status in Support of the Company's Medium-Term and Long-Term Strategies

Title	Independent Director	Independent Director	Independent Director	Independent Director	Director	Director	Director	Director	Director
Identity	Natural Person	Natural Person	Natural Person	Natural Person	Natural Person	Natural Person	Representative of Tsun Chueh Investment	Representative of Tsun Chueh Investment	Representative of Modern Investment
Gender	Male	Male	Male	Female	Male	Male	Male	Male	Male
Name	Ming- Ling Hsueh	Kuang-Si Shiu	Hsing-Yi Chow	Sheau-Wen Yang	Ting-Chien Shen	Wei-Chen Ma	Yaw-Ming Song	Chung-Yuan Chen	Chien Weng
Securities, banking, insurance related experience	v	v	v	v	v	v	v	v	v
Law, financial supervision, or international business related experience or ability	v	v	v	v	v		v		v
Mergers and acquisitions related knowledge or experience	v		v		v	v	v		v
International taxation or capital planning related experience or ability	v	v	v						v
Corporate governance related experience or ability	v	v	v	v	v	v	v	v	v
Contribution to the Company and the subsidiaries while serving as Director of the Company	v	v	v		v	v	v	v	