

2023 Operation of the Sustainable Development Committee

The sustainable development committee convened 4 (A) meetings in the most recent year (2023), and the attendance of the committee members is as follows:

Title	Name	Number of Actual Attendance (B)	Number of Attendance by proxy	Actual Attendance Rate (%) (B/A)(Note)	Remarks
Convener	Tony Shen	4	0	100	
Member	Ming Ling Hsueh	4	0	100	
Member	Kuang Si Shiu	4	0	100	
Member	Hsing Yi Chow	4	0	100	
Member	Sheau Wen Yang	4	0	100	

Other items which should be recorded:

1. In cases where the board of directors does not adopt or amend the proposal by the sustainable development committee, the minutes concerned shall clearly state the meeting date of the board of directors, term, contents of motions, resolutions, and the Company's handling of the sustainable development committee's opinions: None.
2. For resolutions reached by the sustainable development committee, if there were any objections or reservations expressed by committee members which were recorded in the minutes or in written statements, the minutes concerned shall clearly state the meeting date of the sustainable development committee, term, contents of motions, opinions of all members and actions taken on these opinions: None.
3. Other important motions and their implementation results are set out below:
 - (1) The 4th sustainable development committee meeting of the 9th board of directors on March 15, 2023
 - A. Report on the execution results of the Company's Integrity Management Office for the second half of 2022.
 - B. Report on the execution results of the Company's Corporate Sustainability Office for the second half of 2022.
 - C. Report on the Company's implementation of the whistleblowing system for the second half of 2022.
 - D. Report on the Company's performance of integrity management for 2022.
 - E. Report on the Company's performance of promotion of sustainable development for 2022.
 - F. Report on the Company's Greenhouse Gas Information Inventory and Verification Work.
 - G. Report on the performance results and progress of the Yuanta Group's green products for the second half of 2022.
 - H. Amendments to the Procedures for Integrity Management and Guidelines for Conduct of Yuanta Financial Holding Co., Ltd.
 - I. Amendments to the Company's 2021-2025 Yuanta Group Sustainable Development Strategy Roadmap.
 - (2) The 5th sustainable development committee meeting of the 9th board of directors on May 30, 2023
 - A. Report on the Company's Communication on Issues of Concern to Stakeholders and Materiality Assessment Process in 2022.
 - B. Report on the Company's Yuanta Financial Holdings 2022 ESG Report.
 - C. Amendments to the Sustainable Finance Guidelines of Yuanta Financial Holding Co., Ltd.
 - D. Establishment of the Financial Inclusion Policy of Yuanta Financial Holding Co., Ltd.
 - (3) The 6th sustainable development committee meeting of the 9th board of directors on August 15, 2023
 - A. Report on the execution results of the Company's Integrity Management Office for the first half of 2023.
 - B. Report on the execution results of the Company's Corporate Sustainability Office for the first half of 2023.
 - C. Report on the Company's implementation of the whistleblowing system for the first half of 2023.

- (4) The 7th sustainable development committee meeting of the 9th board of directors on November 21, 2023
- A. Drafting of the “2024 Schedule of Actions” and “2024 Scheduled Meeting Schedule” of the Sustainable Development Committee of the Company.
 - B. Report on the 2024 Annual Work Plan of the Company’s Integrity Management Office.
 - C. Report on the 2024 Annual Work Plan of the Company’s Corporate Sustainability Office.
 - D. Report on the performance results and progress of the Yuanta Group’s green products for the first half of 2023.

- Note: (1) If a committee member resigns before the end of the year, the date of resignation shall be indicated in the Remarks column. The actual attendance rate (%) is calculated based on the number of meetings of the sustainable development committee and their actual attendance during their term of office.
- (2) If there is a re-election of committee members before the year-end, both new and former directors of the board shall be listed, and the directors shall be marked as former, new, or re-elected, and the date of re-election shall be indicated in the Remarks column. The actual attendance rate (%) is calculated based on the number of meetings of the sustainable development committee and their actual attendance during their term of office.